

Appendix 1 to the Independent Reasonable Assurance Report

Evaluation Criteria

COLLECTION FACILITIES

Specific Disclosures in the annual stewardship report for which evaluation criteria were developed		
Disclosed information	Claim in the Report	Reference
Number of collection facilities	185 Return Collection Facilities (RCFs) for the public and 21 return locations for the IC&I sector	Part 2, section Page 2 and Appendix I and Appendix 2
Changes to number Of collection facilities	<ul style="list-style-type: none"> • There were 7 new RCF added in 2016. 	Part 2, Section 8(2)(b), Page 2 and Appendix I

The following definitions were applied to the assessment of the location of collection facilities, and any changes in the number and location of collection facilities from the previous report in accordance with Section 8(2)(b) of the Recycling Regulation:

- Collection Facility: any consumer or industrial facility that accepts Lead Acid Batteries.

The following evaluation criteria were applied to the assessment of the location of collection facilities, and any changes in the number and location of collection facilities from the previous report in accordance with Section 8(2)(b) of the Recycling Regulation:

1. The number of collection facilities is determined based on the number of retail outlets or industrial depots that advertise they will accept lead acid batteries.
2. Changes in the number of collection facilities are determined based on a review of retail outlets and CBA members self reporting industrial battery depots.

PRODUCT MANAGEMENT

Specific Disclosures in the annual stewardship report for which evaluation criteria were developed		
Disclosed information	Claim in the Report	Reference

A description of how the recovered product was managed in accordance with the pollution prevention hierarchy (S.8(2)(d))	All recovered LABS collected by CBA members are sent to recycling and smelting facilities that have valid permits, approvals and/or Export Permits	Part 2, Section 8(2)(d), Pollution Prevention Hierarchy and Product/Component Management Page 2
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The following definitions were applied to the assessment of the description of how the recovered product was managed in accordance with the pollution prevention hierarchy in accordance with Section S.8(2)(d):

- Total weight of LABS processed, by category, is determined based on scaled deliveries to licensed smelters in North America by CBA members or exported by permit to OECD countries. •
The recycling requirements and emission levels for recyclers and smelters are set by Provincial or State governments as part of their permit/approval processes for the recycling and smelting facilities.

The following evaluation criteria were applied to the assessment of the description of how the recovered product was managed in accordance with the pollution prevention hierarchy in accordance with Section S.8(2)(d):

- I. All recovered LABS collected by CBA members are sent to recycling and smelting facilities that have valid permits, approvals and/or export permits.

PRODUCT SOLD AND COLLECTED

Specific Disclosures in the annual stewardship report for which evaluation criteria were developed		
Disclosed information	Claim in the Report	Reference
Product collected	CBA members reported 23.5M kgs of lead-acid batteries recovered in 2016.	Part 2, Section 8(2)(e), Page3
Product sold	CBA members reported sales of 24.7M kgs of lead acid batteries in 2016.	Part 2, Section 8(2)(e), Page 3
Recovery rate	See the evaluation criterion for performance for the year in relation to targets in the approved stewardship plan below	

The following evaluation criteria were applied to the assessment of total amounts of the producer's product sold and collected and, if applicable, the producer's recovery rate has been calculated in accordance with Section 8(2)(e);

1. Product Sold: Product sold is determined based on self-reporting by each steward of units sold by category on a monthly/quarterly basis.
2. Product Collected: The weight of product collected is based on the weight of material shipped to smelters by CBA members for recycling
3. Product Collected: Adjustments for in-transit material and inventory at consolidation sites that are not yet invoiced by processors are made for annual reporting purposes.

TARGETS

Specific Disclosures in the annual stewardship report for which evaluation criteria were developed		
Disclosed information	Claim in the Report	Reference
<p>Targets associated with Section 8(2)(b) per Approved Stewardship Plan:</p> <ul style="list-style-type: none"> ● There will be over 180 retail families located in urban locations for the public to drop off LABS at no charge ● There will be a minimum of 20 large warehouse locations for drop off of industrial and commercial batteries at no charge. 	<ul style="list-style-type: none"> ● The CBA has identified 185 Return Collection Facilities (RCFs) for the public and 21 return locations for the IC&I sector. 	Section 2, page 4
<p>Targets associated with Section</p> <ul style="list-style-type: none"> ● Compliance with Basel Convention 	<p>All LABS collected by CBA members were sent to permitted smelters in North America for recycling or exported to OECD countries for recycling</p>	Section 4, page 5

<p>Targets associated with Section</p> <ul style="list-style-type: none"> • 95% Recovery Rate 	<p>Product sold 24,700,000</p> <ul style="list-style-type: none"> • Product recovered 2 3 , 5 0 0 kg <p>Recovery rate 95.0% of CBA sales</p>	<p>Part 2, Section 8(2)(e), Page 3</p> <p>Part 2, Section 8(2)(e), Page 3</p> <p>Section 3, page 4</p>
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The following evaluation criteria were applied to the description of performance for the year in relation to the specific targets associated with Section 8(2)(b), (d) and (e) of the Recycling Regulation in the approved stewardship plan:

1. Targets in the stewardship plan have been identified and reported on by management in the annual report; and
2. The description of progress against targets to date is supported by records maintained by the Canadian Battery Association.
3. The expected outcomes and target dates in the annual report are consistent with the targets in the approved stewardship plan
4. The facts disclosed in the annual update on progress are supportable by evidence, neutral and understandable.
5. All LABS are delivered to permitted smelters in North America or exported to OECD countries by Export Permits. This is intended to demonstrate that LABS are recycled in accordance with strict environmental standards. This is covered by the product management evaluation criteria above and no further evaluation criteria are necessary.